



CONCERT-Japan Research and Innovation Joint Call

- **Efficient Energy Storage and Distribution**
- **Resilience against Disasters**

CALL TEXT AND NATIONAL/REGIONAL REGULATIONS

Proposal Submission Period:

Monday, 3 September to Thursday, 15 November 2012
(deadline of 1 pm GMT and 10 pm Japanese time)

Websites:

<http://www.concertjapan.eu> (for Call Text and National/ Regional regulations, Guidelines for Applicants and project description template)

https://www.pt-it.de/ptoutline/application/concert_energy (for online proposal submission, for the thematic area “Efficient Energy Storage and Distribution”)

https://www.pt-it.de/ptoutline/application/concert_disaster (for online proposal submission, for the thematic area “Resilience against Disasters”)

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PART 1: Call Text

1. Background and rationale of the CONCERT-Japan Joint Call

1.1. The CONCERT-Japan project

CONCERT-Japan (www.concertjapan.eu) is an international ERA-NET with the objective of enhancing the cooperation of European countries with Japan in various areas of S&T. The project is funded in the frame of the 7th EU Framework Programme for Research and Technological Development (FP7) and runs from January 2011 to December 2013.

The **key aims of CONCERT-Japan** are to:

- Enhance the understanding of the European stakeholders about the Japanese science and technology support system with a particular focus on international cooperation programmes
- Share, spread and promote the application of good cooperation practices; to harness synergies, strengths and opportunities; addressing weaknesses and threats with regard to the bilateral science and technology cooperation of EU member states and associated countries with Japan
- Determine common future preferences, priorities and areas of mutual interest in European-Japanese science and technology cooperation
- Develop a sound joint science and technology cooperation strategy at EU level towards Japan based on identified common elements
- Perform a coordinated pilot joint call for joint funding between EU Member States/Associated Countries and Japan based on the strategy developed
- Use commonly developed IPR arrangements in the pilot joint call
- Learn lessons from the implemented pilot joint call and to establish a common fully-fledged programmatic ground for a mid-term cooperation strategy
- Promote ownership among the programme owners/managers of the joint strategy in order to ensure the durability of the programmatic ground

The core consortium of CONCERT-Japan consists of 13 organisations from 9 countries (Turkey (coordinator), Germany, France, Hungary, Italy, Spain, Switzerland, Norway and Japan). In addition, several observers are involved in some parts of the project.

1.2. The CONCERT-Japan Joint Call

The **aim of the CONCERT-Japan Joint Call** is the start of new, sustainable and multilateral research cooperation between European and Japanese researchers, through:

- Enhancing bi-regional communication and bi-regional cooperation
- Increasing and developing high quality research networking between researchers
- Developing new partnerships and strengthening existing ones
- Exchanging existing knowledge and creating new knowledge
- Providing a mechanism for future extended projects of Euro-Japan cooperation

Transnational research projects will be funded, also providing funds for **mobility (travel costs and living expenses), smaller scientific events and other costs**. In addition to the core research component, a **strong focus will also be put on the organisation of small scale joint scientific events, and scientific exchanges**. Ideally, the funding through the Joint Call should enable research consortia to prepare for a more substantial funding from other sources in the future (such as FP7/HORIZON2020 or other multilateral as well as bilateral funding programmes).

2. Design of the CONCERT-Japan Joint Call

The design of the CONCERT-Japan Joint Call is of a flexible nature to ensure that a wide variety of funding institutions is able to join the Joint Call – and thus, as many researchers from European countries and Japan as possible are eligible. For this reason, each participating funding institution will apply its national/ regional funding regulations. **Therefore, before the submission of a proposal the national or regional regulations (see Part 2) applicable for each consortium partner should be thoroughly checked and the National/Regional Contact Person (see Part 3) should be contacted for guidance.**

2.1. The thematic areas

The CONCERT-Japan Joint Call will focus on the following two thematic areas:

1. Efficient Energy Storage and Distribution
2. Resilience against Disasters

1. Efficient Energy Storage and Distribution

Energy storage and distribution technologies have critical importance for the transition to and operation of a more efficient, sustainable and low carbon energy system. In the past, the transport and distribution of energy - regardless of its source - was managed in a relatively direct manner going in a single direction from producers or suppliers to the consumer. The integration of conventional sources of energy and renewable sources of energy (wind, solar etc.) is calling for the development of energy storage technologies on a large scale as well as for the expansion of energy grids and particularly electricity grids. Existing grids will need to be adapted and engineered to cope with the increased use of renewable energy and decentralization of the power supply. Only some of the technologies needed in this respect are currently available and basic research and technology development in energy storage and grid infrastructures need to be intensified. At the systemic level, the management and optimization of an energy system with increased degrees of freedom can be a further driver for future research.

The overall aim is to support research in developing more efficient, reliable, secure, flexible and sustainable technologies in energy storage systems and distribution of electricity.

Intensive research is needed for the development of improved energy storage and distribution technologies. In the scope of this Joint Call, energy storage research may address all areas of energy storage technologies including chemical, electrochemical, electrical, mechanical and thermal storage technologies. The research could address stationary applications as well as mobile applications. Research may range from the development of improved storage systems for smart grids to vehicle thermal management for efficient fuel consumption and CO₂ reduction. Research on energy distribution within this Joint Call is expected to address innovative energy transmission and distribution concepts. The key aspects in this context cover a broad spectrum: they range from the development of new components (such as direct current power transmission technology and superconductivity technology) to modelling for planning purposes and secure, efficient operation of electricity grids as well as to the demonstration and assessment of new technologies and systems analysis issues. In this context, research may address numerical simulation of smart grids, IT solutions such as SCADA systems, grid integration of renewable energy sources as well as other distributed and intermittent energy sources.

This Joint Call for efficient storage and distribution of energy is not exclusive to the above mentioned research issues; it is open to any other research issue addressing the problems in efficient distribution and storage of energy. Proposals that are orientated towards emerging technologies or ideas based on unique and innovative concepts are encouraged.

2. Resilience against Disasters

Many countries are vulnerable to various disasters, as represented by the Great East Japan Earthquake which hit Tohoku, Japan, in 2011. The loss of life and property are extensive, particularly in densely populated urban areas under such natural disasters. For many countries, steady measures to secure peoples' lives and properties are needed in the face of large scale disasters, so that the people can lead the safe, affluent as well as high quality life by overcoming tremendous damage caused by such hazards.

The aim is to promote safer lives for the people by protecting them from possible large scale hazards. In line with this aim, this Joint Call encourages new, effective and holistic approaches which remediate vulnerability of urban and rural societies and promote resilient infrastructures, systems and communities.

The research under this topic is expected to promote disaster prevention and mitigation research with regard to all kinds of hazards such as earthquakes, volcanic eruptions, tsunamis, mass movements (e.g. landslides), storm and flood damages, droughts, conflagrations, extreme climate events as well as collapse of man-made structures or loss of large databases etc. The Joint Call aims at strengthening disaster prevention systems, and facilitating quick tracking of the disaster damages as well as ensuring fluent communication and continuous information flow at the time of disasters. The Joint Call also includes research aiming at disaster risk assessment and management, better preparedness against disasters and the development of mitigation strategies, as well as disaster response and relief measures.

The research under this topic is also expected to contribute to enhancing reliable and safe construction and urban development that is resilient to large scale hazards and climate change. Therefore, research is promoted in areas which could contribute to the enhancement of functionality, convenience and safety of the disaster prevention infrastructure including embankments; transportation infrastructure such as ports, airports, railways, bridges, roads, coastal protection structures etc.; as well as other lifeline infrastructure such as electricity, gas, water and sewerage and ICT networks, especially wireless communication networks, etc. Integrated management of networks of various infrastructures shall be also promoted.

This Joint Call for resilience against disasters is not exclusive to the above mentioned research issues; it is open to any other research issue addressing the problems in this specific area. Proposals that are orientated towards emerging technologies or ideas based on unique and innovative concepts are encouraged.

2.2. Participating countries and regions

In total, 15 national/regional funding organisations from 12 countries have agreed to participate in the CONCERT-Japan Joint Call for funding research projects in the two thematic areas of the Joint Call, Efficient Energy Storage and Distribution and Resilience against Disasters:

- **Japan:** Japan Science and Technology Agency (JST)
- **Belgium/Flanders:** Research Foundation Flanders (FWO)¹
- **France:** French Ministry of Foreign Affairs (MAE)
- **France:** French Ministry of Higher Education and Science (MESR)²
- **Germany:** German Federal Ministry of Education and Research (BMBF)³

¹ FWO will only fund basic research.

² French ministries do not finance SMEs, but they might be able to participate under specific conditions.

- **Germany/Region of North Rhine Westphalia:** Ministry of Innovation, Science and Research of the State of North Rhine Westphalia (MIWF)⁴
- **Hungary:** Hungarian Scientific Research Fund (OTKA)⁵
- **Italy/Region of Lombardy**
- **Italy/Province of Trento**
- **Norway:** Research Council of Norway (RCN)
- **Romania:** National Authority for Scientific Research (ANCS)
- **Slovakia:** Slovak Academy of Sciences (SAS)
- **Spain:** Ministry of Economy and Competitiveness (MINECO)⁶
- **Switzerland:** ETH Zurich on behalf of State Secretariat for Education and Research
- **Turkey:** Scientific and Technological Research Council of Turkey (TÜBİTAK)

Only researchers based in those countries/regions are eligible for funding through the CONCERT-Japan Joint Call. Researchers from other countries or regions may also participate on special conditions (see section 2.3).

2.3. Eligible beneficiaries and composition of the consortia

Eligible beneficiaries of funding in the CONCERT-Japan Joint Call are **public and private legal RTD entities, higher education institutions and non-university research establishments, and for some countries small and medium-sized enterprises (SMEs)⁷ and other kinds of organisations.** The Joint Call funding institutions will individually decide on the eligible beneficiaries (and the percentage of funding) in their countries/regions according to their national/regional regulations and restrictions.

In some of the European countries participating the call, **large-sized enterprises/industries** are allowed to participate as a project partner only in case own funding is brought into a project consortium. In such cases, a confirmation letter of the enterprise has to be submitted at the time of the proposal submission. Large-sized enterprises/industry in Japan, and also in some European countries, could be eligible beneficiaries and can apply to their participating funding institution for funding. The national/regional regulations on this issue are indicated in the Part 2 of this Call Text.

Other types of organisations may participate based on the national and regional regulations.

Each project consortium should consist of **at least 3 partners, one from Japan and two from two different European countries participating in the Joint Call.** A partner should be a research institution or company. The number of partners of a project consortium should be appropriate for the aims of the research project and reasonably balanced in terms of multilateral participation. Each project should clearly demonstrate the added value of working together.

Each project consortium should choose **two project leaders, one from the Japanese side and one from the European side.** One of the two project leaders (the principal project leader) will be responsible for submitting the proposal on behalf of the whole consortium. A project leader may participate in only one project proposal.

³ In the thematic area “Efficient Energy Storage and Distribution” the BMBF will only fund basic research.

⁴ Region NRW will only provide funding for the theme “Efficient Energy Storage and Distribution”.

⁵ OTKA will only fund basic research and only in the theme “Efficient Energy Storage and Distribution”.

⁶ MINECO will only fund in the theme “Efficient Energy Storage and Distribution”.

⁷ SMEs are enterprises which employ less than 250 persons and which have an annual turnover not exceeding 50 million euro, and/or an annual balance sheet total not exceeding 43 million euro (see: http://ec.europa.eu/enterprise/policies/sme/facts-figures-analysis/sme-definition/index_en.htm)

Projects with ideas at an early stage with highly challenging goals based on unique concepts are highly encouraged. To ensure the building of sustainable cooperation and the promotion of early-stage researchers, **each project is highly encouraged to involve early-stage researchers (max. 10 years after graduation from first degree or max. 5 years full-time research experience after PhD).**

Researchers/research teams from European countries that do not participate in the CONCERT-Japan Joint Call may participate as additional partners in a project consortium (after ensuring the minimum consortium criteria) if they can prove that they have secured funding from other sources that allows them to fulfil their obligations within the consortium. A **confirmation letter** of the external funding institution has to be submitted at the time of proposal submission.

2.4. Eligible costs and duration of funding

Within the framework of the CONCERT-Japan Joint Call, costs eligible for funding may be:

- **Research material and small-scale research equipment:** Consumables, small equipment and subcontracting (for example for the fabrication of samples at a specialised facility) are eligible if its need is listed in detail and duly justified.
- **Personnel costs**
- **Travel costs:** Only travels to the countries participating in the Joint Call are eligible. In case of additional partners (researchers who are from a European country other than those participating in the Joint Call and who secure their own funding), travels to this country are also eligible.
- **Living expenses:** Only expenses incurred in the countries participating in the Joint Call, and other European countries (in case of additional partners) are eligible.
- **Expenses for the organisation of small scientific events:** Room rent, catering, printing of conference brochures, travel and accommodation of invited external experts (that do not form part of the project consortium but are considered necessary for the success of the event) are eligible.

The **eligibility of all costs for each applicant depends on the national/regional regulations** applied by each Joint Call funding institution and should be calculated accordingly. Therefore, it is quite likely that not all Joint Call funding institutions will be able to fund all of the aforementioned five cost categories.

All project partners are advised to contact their CONCERT-Japan National and Regional Contact Persons (see Part 3) for further information on eligible costs, and national and regional specifications.

All partners of the funded projects are expected to set a certain amount of funding aside for the preparation and organisation of a Joint Workshop (one for each thematic area) at the end of the funding period (i.e. first three months of 2015) for the purpose of dissemination of projects results with the participation of all the partners of the funded projects. The leaders of the funded projects are expected to organise the Joint Workshops jointly, and to inform the Joint Call Secretariat and TÜBİTAK, as CONCERT-Japan coordinator, about the planning. The project leaders will also have to deliver a joint event report on the Workshops they have organized, at the latest one month after the event has taken place.

Funding will be provided for the **maximum duration of two years**. The funding of projects should start in April 2013 and should be concluded by March 2015.

2.5. Call budget and funding principle

The CONCERT-Japan Joint Call follows the ***juste retour*** principle. It means that the national and regional financial contributions to a virtual common pot will be assigned to project partners of that respective country and region only, in accordance with national and regional regulations. **Cross-border funding is not envisaged.**

The overall budget of the CONCERT-Japan Joint Call is the sum of the individual budgets allocated by each participating funding institution. If more than one funding institution from a given country participates in the Joint Call, the added amount of these institutions is considered as the country's overall Joint Call contribution.

Below is an overview of the individual national/regional contributions assigned to the CONCERT-Japan Joint Call budget by each participating funding institution:

Country/funding institution	Amount in Euro
Japan: Japan Science and Technology Agency (JST)	800,000 €
Belgium/Flanders: Research Foundation Flanders (FWO) – <i>for both areas but only in basic research</i>	200,000 €
France: French Ministry of Foreign Affairs (MAE)	90,000 €
France: French Ministry of Higher Education and Science (MESR)	90,000 €
Germany: German Federal Ministry of Education and Research (BMBF) – <i>for both areas, but in “Efficient Energy Storage and Distribution” only for basic research</i>	800,000 €
Germany/Region of North Rhine Westphalia: Ministry of Innovation, Science and Research of the State of North Rhine Westphalia (MIWF) – <i>only for “Efficient Energy Storage and Distribution”</i>	100,000 €
Hungary: Hungarian Scientific Research Fund (OTKA) – <i>only for basic research in “Efficient Energy Storage and Distribution”</i>	150,000 €
Italy/Region of Lombardy	400,000 €
Italy/Province of Trento	300,000 €
Norway: Research Council of Norway (RCN)	660,000 €
Romania: National Authority for Scientific Research (ANCS)	300,000 €
Slovakia: Slovak Academy of Sciences (SAS)	100,000 €
Spain: Ministry of Economy and Competitiveness (MINECO) – <i>only for “Efficient Energy Storage and Distribution”</i>	250,000 €
Switzerland: ETH Zurich on behalf of State Secretariat for Education and Research	250,000 €
Turkey: Scientific and Technological Research Council of Turkey (TÜBİTAK)	800,000 €
Overall call budget	5,290,000 €

It is expected that about eight projects will be funded through the CONCERT-Japan Joint Call. There is no fixed amount of projects that will be funded in each topic. However, it is intended to fund minimum two and maximum six projects in each topic.

Some funding institutions might decide to set an upper limit that can be requested per project partner coming from the respective country. The upper funding limits may thus differ from one country to the next. **Applicants should therefore thoroughly check the national and regional regulations stipulated in Part 2 and contact their National and Regional Contact Persons for information (Part 3).**

3. Proposal submission

Project proposals are to be submitted electronically using the PT-Outline webtool which is accessible directly at:

- https://www.pt-it.de/ptoutline/application/concert_energy (for online proposal submission, for the thematic area “Efficient Energy Storage and Distribution”)
- https://www.pt-it.de/ptoutline/application/concert_disaster (for online proposal submission, for the thematic area “Resilience against Disasters”)

and through a link given on the CONCERT-Japan website (<http://www.concertjapan.eu>).

The principal project leader should fill in the webtool on behalf of the whole consortium and submit the proposal. Thus only one online proposal per project is needed. The principal project leader has to confirm that the proposal is endorsed by all project partners through ticking a respective box in the PT-Outline webtool. A submission of a printed version of the proposal is not necessary.

PT-Outline consists of four online webforms and the word-template of the Project Description that have to be filled-in by the principal project leader. The following information has to be included in the **four webforms**:

1. **General information:** Thematic area of the proposal including keywords, project title and acronym, project duration and short abstract
2. **Principal project leader:** Information and contact data, breakdown of costs with short explanations according to the cost categories for 2013, 2014 and 2015)
3. **Second project leader and other project partners:** Information and contact data, breakdown of costs with short explanations according to the cost categories for 2013, 2014 and 2015
4. **Project Description:** A word-template has to be downloaded, completed and uploaded again. The Project Description template is structured as follows (a maximum number of pages will be given for each item to avoid overly long proposals):

1. General information

1.1 Short abstract of the project

1.2 Main objectives of the project

1.3 The project description

1.4 Scientific excellence of the project and the project partners

1.5 Project coordination and management

2. Work plan

2.1 Research methodology

2.2 Work plan (timetable/Gantt chart)

2.3 Envisaged types of activities (including main milestones, deliverables and place in case of events)

2.4 Involvement of each partner

3. Expected impact of project results

4. Added values of multilateral cooperation

5. Short CVs of main participating researchers including a list of the five most relevant publications of the last ten years

6. Confirmation letter (only necessary in case of additional partners that need to secure their own funding)

The financial information that has to be inserted directly into the webforms is the overall amount requested in each eligible cost category for each project partner per year. Short explanations should also be given to explain how the amounts have been calculated. The below table may help when compiling the financial information.

2013 (1 April to 31 December)					
	Research material	Personnel costs	Travel costs	Living expenses	Organisation of events
First project leader					
Second project leader					
Other partner					
Other partner					
...					
2014 (1 January to 31 December)					
	Research material	Personnel costs	Travel costs	Living expenses	Organisation of events
First project leader					
Second project leader					
Other partner					
Other partner					
...					
2015 (1 January to 31 March)					
	Research material	Personnel costs	Travel costs	Living expenses	Organisation of events
First project leader					
Second project leader					
Other partner					
Other partner					
...					

Proposals sent by post, e-mail, fax, telex or facsimile will be rejected. All proposals must be written in English.

The webtool will be open for proposal submission from 3 September to 15 November 2012 (deadline of 1 pm GMT and 10 pm Japanese time).

More information on how to submit a proposal with PT-Outline can be found in the Guidelines for Applicants and FAQs.

Some funding organisations may ask the applicant to submit a parallel proposal to the funding organisation in line with the national/regional requirements. This can be done at the stage of submission of joint proposals to the JCS or after the evaluation of the joint proposals. These additional proposals submitted to the national/regional funding organisations may be evaluated or may not be evaluated by the funding organisation, according to the rules and regulations of the funding organisation. For further detail about each funding organisation's requirement with regard to proposal submission, please see Part 2.

4. Proposal evaluation and funding decision

A four-step evaluation process will be implemented for the proposals submitted within the CONCERT-Japan Joint Call.

4.1. Eligibility check

The Joint Call Secretariat and the National and Regional Contact Persons will check the eligibility of all submitted proposals taking into consideration the general Joint Call criteria and the individual national/regional criteria respectively.

The **general eligibility criteria** that will be applied are:

- Appropriate length and layout of the proposal (maximum allowed number of pages and use of template for the Project Description)
- Inclusion of all necessary information in English
- Eligibility of all project partners
- Participation of at least three partners from a minimum of two different eligible European countries and Japan
- Eligible thematic focus
- Eligibility of requested funding
- Inclusion of the confirmation letter of the external sponsoring institution (in case of additional partners that need to secure their own funding)

The **national/regional eligibility criteria** of the participating Joint Call funding institutions are given in the National/Regional Regulations that form part of the Call Text.

4.2. Online evaluation of proposals

The selection of the proposals for funding is based on an **international, independent evaluation by scientific peers**. Each proposal is assessed by at least two evaluators, one chosen by the European, the other by the Japanese partners.

The following **four evaluation criteria** are used:

1. Scientific excellence of the project and the project partners (scoring from 0 to 5 points)

- Sound concept and quality of objectives
- Originality, innovative character, uniqueness of the research idea
- Scientific track–record of the partners/publications in scientific journals
- Scientific standing of the organisation the applicants belong to

2. Methodology and work plan (scoring 0 to 5 points)

- Quality and effectiveness of the methodology
- Feasibility of the work plan (in relation to governance, adequate budget, resources, time schedule)

3. Expected impact of project results (scoring 0 to 5 points)

- Impact of the project on the scientific field/community
- Expected exploitation of the results

4. Added values of multilateral cooperation (scoring 0 to 5 points)

- Level of the collaborative interaction between project partners
- Added value of the international project consortium
- Sustainability of the expected collaboration

- Interdisciplinarity
- Involvement of early-stage researchers and gender balance

The **scoring system** is as follows:

- 5: EXCELLENT** – The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.
- 4: VERY GOOD** – The proposal addresses the criterion very well, although certain improvements are possible.
- 3: GOOD** – The proposal broadly addresses the criterion, but improvements are necessary.
- 2: FAIR** – There are serious inherent weaknesses in relation to the criterion in question.
- 1: POOR** – The criterion is addressed in an inadequate and unsatisfactory manner.
- 0: FAILS OR MISSING/INCOMPLETE INFORMATION** – The proposal fails to address the criterion in question or cannot be judged due to missing or incomplete information

4.3. Meetings of the Scientific Committees

The two Scientific Committees (one per thematic area), chaired each by an independent chairperson, will **rank the proposals based on the online evaluations and internal discussions and recommend to the Funding Organisations Forum a list of proposals to be funded.**

4.4. Meeting of the Funding Organisations Forum

The Funding Organisations Forum will take **the final decision on the proposals to be funded on a consensus basis**, based on the recommendations of the Scientific Committees. It will discuss and approve the recommended projects according to the ranking list and the available budget. The funding will be administered according to the terms and conditions of the participating national and regional funding institutions, taking into account the applicable regulations and available funding.

All applicants will be informed of the outcomes of the evaluation at the end of February/beginning of March 2013.

5. Conclusion of the funding contract

After the funding decision, all applicants will be informed by the Joint Call Secretariat about the results of the evaluation process and the next steps to be taken. From then, parallel contract negotiations will start in each participating country or region. The project partners of each proposal to be funded will conclude an individual funding contract with their respective national/regional funding institution. This may mean that partners of a successful proposal will have to submit an additional application to their national/ regional funding institution to receive their funding.

Before the start of the funding, the Joint Call Secretariat will send a **fact sheet with CONCERT-Japan regulations that will apply to all research projects** funded through the CONCERT-Japan JC to all partners of a successful project. The fact sheet will inter alia clarify the following points:

- Information that **the funding of each partner will follow national/regional regulations** and may therefore differ from one project partner to the other;
- Information that **the negotiation and the conclusion of the funding contract will be done by the national/ regional funding institutions** that participate in the JC and may therefore differ from one project partner to the other;
- Information about the **regulations** (see section 6. Project implementation and reporting)
- Information that **the reporting on the project's development will be two-fold:**
 - **vis-à-vis national/ regional funding institution:** according to the national/regional regulations applicable,
 - **vis-à-vis the JCS/CONCERT-Japan coordinator:** submission of an interim report after one year of funding, and a final report after the end of the project;
- **Information on the Joint Workshops to be organised by the funded projects of both thematic areas at the end of funding:** All partners of the funded projects are expected to set a certain amount of funding aside for the preparation and organisation of a Joint Workshop (one for each thematic area) at the end of the funding period (i.e. first three months of 2015) for the purpose of dissemination of project results. The leaders of the funded projects are expected to organise the Joint Workshops jointly, and to inform the Joint Call Secretariat and TÜBITAK, as CONCERT-Japan coordinator, about the planning. They will also have to deliver an event report at the latest one month after the events have taken place.

6. Project implementation and reporting

Each consortium funded through the CONCERT-Japan Joint Call is strongly advised to conclude a **Consortium Agreement** listing the rights and responsibilities of each project partner. Depending on the nature of the funded project, special regulations should be included in the Consortium Agreement regarding the **Intellectual Property Rights**. Scientific and technological results and any other information derived from the co-operation activities under this call, can be announced, published or commercially exploited with the agreement of the partners of the funded projects and according to the national/regional regulations as well as international agreements concerning intellectual property rights.

The following regulations will apply for all research projects that are funded through the CONCERT-Japan Joint Call:

- A copy of any academic publication or other form of output resulting from the research for which funding was awarded should be sent to the CONCERT-Japan Coordinator and the Joint Call Secretariat.
- In any publication of results of the research for which CONCERT-Japan Joint Call funding was awarded, mention must be made of the support received in the frame of the CONCERT-Japan Joint Call (*“This work was supported by funding received from the CONCERT-Japan Joint Call on Efficient Energy Storage and Distribution/Resilience against Disasters.”*). The CONCERT-Japan logo and the internet address <http://www.concertjapan.eu> should also be shown on the publication.
- All funded projects should collaborate with the Expert that will be subcontracted by the CONCERT-Japan consortium to assess the concept and implementation of the Joint Call when such a need arises and such a request is made.

The principal project leaders of the funded projects will be requested to send an **interim report (after one year of funding) as well as a final report (after two years of funding)** to the Joint Call Secretariat (anna.boitard@cns-dir.fr) and the CONCERT-Japan coordinator (concertjapan@tubitak.gov.tr and filiz.hayirli@tubitak.gov.tr). The reports should highlight the main (interim) results and outputs of the projects and any problems that have arisen and how they have been solved. A template for both reports will be prepared to ensure the comparability of information.

Additional individual reporting to the national/regional funding institutions might be necessary depending on national/regional regulations.

7. Indicative timetable

Publication of the Call for Proposals	Monday, 3 September 2012
Deadline for proposal submission	Thursday, 15 November 2012 (deadline of 1 pm GMT and 10 pm Japanese time)
Eligibility check	19 – 30 November 2012
Online evaluations	5 December 2012 - 8 January 2013
Scientific Committees' meetings	14-15 February 2013
Funding Organisations Forum meeting	End of February 2013
Information of applicants about the results of the evaluation	Beginning of March 2013
Preparation of national/ regional funding contracts/funding decisions	March 2013
Start of projects	April 2013
Interim project reports	April 2014
Joint workshops	February/March 2015
Final project reports	April 2015

PART 2: National and Regional Funding Regulations

Japan - Japan Science and Technology Agency (JST)

→ Who can apply?

The applicant requirements are as follows:

- The applicant must be an independent researcher who is capable to carry out Research Project jointly with European research groups in the consortia.
- The applicant must be personally affiliated with research institution in Japan and conduct research there.

Research institutions in Japan refer to universities, independent administrative institutions, national/public testing and Research Institutions, specially authorized corporations, public-service corporations and enterprises, etc. that must satisfy predetermined requirements designated by MEXT. Please refer to MEXT homepage for more information:

http://www.mext.go.jp/a_menu/kansa/houkoku/1310314.htm

Any individual who satisfies the following conditions is also eligible to apply:

- Researcher holding citizenship other than Japanese who belongs to a research institution in Japan.
- Researchers who are not currently affiliated with a particular research institution or are affiliated to a research institution abroad, but who will be affiliated with a research institution in Japan and will be able to conduct research there if selected as Project Leader. (Any nationality is acceptable.)

→ What types of funding are eligible for Japanese researchers?

The level of the funding of Japanese researchers may be up to around 5,000,000 Japanese Yen per year (i.e. overall around 10,000,000 Japanese Yen for the two years of funding) including indirect cost, which represents up to the ceiling of 10 % of the direct cost. (Funding will be made in Japanese Yen.)

1. Eligible direct costs

The basic rule is that the eligible direct costs shall be the typical costs directly needed for accomplishing the research, indicated below from 1.1 to 1.5, and that they are subject to the regulations of each research institution. Please refer to guidance documents in the following link for further details of eligible direct costs (available in Japanese only).

<http://www.jst.go.jp/inter/project/contract.html>

1.1 Research material and small-scale research equipment

Research equipment, spare parts, prototype, software (in-line product), and purchase of books, reagent, material and consumable.

1.2 Personnel costs:

Personnel costs of the researchers, temporarily staffing, etc., who are hired for the research, and other cost such as honorarium for lecturing.

1.3 Travel costs:

Travel costs of the Project Leader as well as research project members registered in the research project plan, and costs of inviting external experts.

1.4 Living expenses

Included in the Travel costs (1.3 above)

1.5 Expenses for the organisation of small scientific events in Japan

Rental costs of the event venue, food & beverage (excluding alcohol) costs and other costs which are deemed to be necessary for organizing the event.

2. Eligible indirect costs

Please refer to the following link for the provisions regarding indirect costs which are subject to the 10% ceiling described above.

<http://www8.cao.go.jp/cstp/compefund/shishin2.pdf>

→ Is an additional/parallel application requested to the funding organisation?

Yes it is. Required procedure is described in the following link:

http://www.jst.go.jp/sicp/announce_cj.html

→ Who can apply?

Art. 9 of the FWO-regulation on the regular research projects is applicable (<http://www.fwo.be/Documentatie.aspx?ID=399b8594-9710-4771-9289-426ff73731e1>). In this article is stated who can apply as a Principal Investigator for a research project:

- a professor with an appointment of more than 10% at a Flemish university;
- a professor with an appointment of 10% at a Flemish university and a main task as researcher;
- a professor with an appointment of 5% at a Flemish university and with an appointment as (assistant) clinical head or an equal function in a university hospital;
- a academic staff member with an appointment at the Evangelical Protestant Faculty in Leuven and the Faculty for Protestant Theology in Brussels;
- a research director of FWO;
- a Flemish beneficiary of an ERC Starting Grant, an ERC Advanced Grant or an allowance in the FWO-funding programme Odysseus II.

All co-promoters have to be researchers at at least postdoctoral level in at least one of the following types of organisations:

- a Flemish university;
- a Flemish research institution;
- a Flemish university hospital;
- the Transnational university Limburg;
- a federal scientific institution, if the co-promoter belongs to the Dutch language register.

Researchers from outside Flanders can be involved as co-promoter without being entitled to receive funding from the FWO and insofar this cooperation is relevant for the project. “

If more than one universities are involved in the project, at least one promoter of each university has to fulfil the above mentioned eligibility criteria as well as to occupy a position covering entirely the period of the project that is applied for.

The criteria have to be met with at the start of the project at the latest, which has to be proven at the date of the submission.

→ What types of funding are eligible for Flemish researchers?

1. Eligible direct costs

Funding money can be used for staff, consumables and infrastructure. The minimal and maximal amounts of money allowed per cost category, as applicable for the regular FWO-projects, are not applicable for the projects funded by FWO in ERA/INCO-NET. For this programme a limit of 50.000 euro/year/project is set. FWO can fund up to 2 projects.

Moreover, FWO pays the host institutions of a project 6% overhead on top of the funding amount.

Funding cannot be used for training activities, apart from the opportunity for a researcher appointed within the project to obtain a PhD on the basis of the results from his/her project research.

1.1 Research material and small-scale research equipment
Only on a limited scale and if its need is demonstrated.

1.2 Personnel costs
A ½ FTE (scientist or technician) can be funded.
½ scientist: 30.000 euro/year
½ technician: 25.000/year

1.3 Travel costs
As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for Belgian (Flemish) project partners who travel to foreign countries will be covered (economy class flight / train ride 2nd class). Visa fees for Japan and vaccine costs for vaccinations officially recommended for Japan by the Belgian Foreign Ministry will also be reimbursed. These costs should be mentioned under the topic “consumables” in the requested budget.

1.4 Living expenses
As a general rule, the daily subsistence allowance for exchanges between countries participating in the CONCERT-Japan Joint Call will be covered by the sending country. For Japan the daily subsistence allowance for Belgian (Flemish) project partners is 66 Euro per day or 1.650 euro per month. When motivated, additional costs in connection with living expenses can be funded. However, all these costs should be mentioned under the topic “consumables” in the requested budget.

1.5 Expenses for the organisation of small scientific events in Belgium (Flanders)
(including the invitation of external experts): funding can be provided towards expenses such as room rent and catering, accommodation of guests, transfer costs in Belgium (daily allowances will not be paid in this case). The upper limit of 20% of the project budget per partner/year should not be exceeded.

2. Eligible indirect costs

There are no eligible indirect costs.

→ Is additional/parallel application requested to the funding organisation?

It is not necessary to submit an additional or parallel application to FWO. However the requested budget should be drafted following FWO project regulations. That means that there should be at least two separate categories for staff and consumables (split out over the different posts (travel costs, living expenses, etc.).

**France -
French Ministry of Research and Higher Education (MESR) and French
Ministry of Foreign Affairs (MAE)**

→ Who can apply?

In France, are eligible for funding, the research teams belonging to public research institutes and/or public higher education entities.⁸

→ What types of funding are eligible for French researchers?

- **Travel:** real costs in the limits of 2 000 € per travel for Japan, and 800€ per travel for other partner countries (Belgium, Germany, Hungary, Italy, Norway, Romania, Slovakia, Spain, Switzerland, Turkey).

- **Daily subsistence allowance**

NB: The exact rate of the daily subsistence allowance per country is available on the internet site of the French ministry of economy and finances:

http://www.finances.gouv.fr/a_votre_service/informations_pratiques/chancellerie/mission.php

For information, the following rates are put as an indication, and are subject to any changes

Belgium - 143 €

Germany - 164€

Hungary - 175 €

Italia - 220€

Japan - 34 000 Yens

Norway - 1 465 NOK

Romania - 160€

Slovakia - 155€

Spain - 132€

Switzerland- 230 CHF

Turkey - 165 €

- **Expenses for the organisation in France of small scientific events**

Reimbursement upon receipt of following costs:

- Travel of invited experts to France.
- Accommodation of invited experts
- Catering expenses
- Room/hall rent
- Expenses for translation
- Consumables necessary for the implementation of the event

- **Research material and small-scale research equipment**

There are no eligible costs for research material and small-scale research equipment.

- **Personnel costs**

There are no eligible costs for personnel costs.

- **Eligible indirect costs**

There are no eligible indirect costs.

→ Is additional/parallel application requested to the funding organisation?

Yes; French researchers that are partners in a project selected for funding will be requested to submit an additional budget form to MESR and MAE. A separate request to do so will be

⁸ French SMEs might be able to have their project financed by OSEO under certain conditions. Please contact the French NRCP for further details.

sent to the French researchers after the notification of the CONCERT-Japan Joint Call Secretariat. To ensure the timely start of funding in April 2013, French researchers should submit this form as soon as possible after having received the notification.

→ Who can apply?

In Germany, German research establishments and higher education institutions as well as SMEs are eligible. Research establishments that are financed jointly by Bund and Länder, can (in addition to their basic funding) only obtain funding for their additional expenses under certain circumstances.

Grants for commercial companies are calculated on the basis of the total project-related costs eligible for funding, up to 50% of which can, as a rule, be covered by government grants, depending on how near the project is to application. The BMBF's policy requires an appropriate own contribution towards the eligible costs incurred - as a rule at least 50%.

Grants for universities, research and science institutions and similar establishments will be calculated on the basis of the eligible project-related expenditure (grants for Helmholtz centres and the Fraunhofer Gesellschaft (FhG) will be calculated on the basis of the project-related costs eligible for funding), up to 100% of which can be covered in individual cases.

→ What types of funding are eligible for German researchers?

The level of the funding of German researchers may be up to 50.000 Euro per year (i.e. overall 100.000 Euro for the two years of funding) including the 20% overhead (Projektpauschale) for universities. In case two or more partners of a project are located in Germany, they may together request the aforementioned maximum sum.

1. Eligible direct costs

The national rules will apply.

1.1 Research material and small-scale research equipment (no basics, e.g. Computer hard- or software, office supplies, etc.): only on a limited scale and if its need is demonstrated. An upper limit of 10% of the project budget per partner/year should not be exceeded.

1.2 Personnel costs: for the preparation of events 1,5 PM for a scientist (up to E 13/TVöD Bund) or administrative staff (up to E9/TVöD Bund) are eligible. An upper limit of 20% of the project budget per partner/year should not be exceeded.

1.3 Travel costs: As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for German project partners who travel to foreign countries will be covered (economy class flight / train ride 2nd class). Visa fees for Japan and vaccine costs for vaccinations officially recommended for Japan by the German Foreign Ministry will also be reimbursed.

1.4 Living expenses (including accommodation costs): As a general rule, the daily subsistence allowance for exchanges between countries participating in the CONCERT-Japan Joint Call will be covered by the sending country. For Japan the daily subsistence allowance for German project partners is 107 Euro per day for up to 22 working days. For stays between 23 and 30 working days, a monthly fee of 2.392 Euro will be paid for each completed month. For individual days in a subsequent month a per diem of 80 Euro will be paid. The stay of young German scientists in Japan will be supported by a monthly fee of 2.392 Euro (for a max. of 3 months). For individual days in a subsequent month a per diem of 80 Euro will be paid. For all other countries participating in the Joint Call the daily subsistence allowance for German project partners is 94 Euro per day for up to 22 working days. For stays longer than 23 days a monthly fee of 1.840 Euro will be paid for each

completed month. For individual days in a subsequent month a per diem of 61 Euro will be paid.

1.5 Expenses for the organisation of small scientific events in Germany (including the invitation of external experts): Grants can be provided towards expenses such as room rent and catering, accommodation of guests, transfer costs in Germany (daily allowances will not be paid in this case). The upper limit of 20% of the project budget per partner/year should not be exceeded.

2. Eligible indirect costs

There are no eligible indirect costs.

→ Is an additional/parallel application requested to the funding organisation?

German researchers that are partners in a project selected for funding will be requested to submit an additional application to the BMBF. A separate request to do so will be sent to the German researchers after the notification of the CONCERT-Japan Joint Call Secretariat. To ensure the timely start of funding in April 2013, German researchers should submit this application as soon as possible after having received the notification.

**Germany/ North Rhine-Westphalia -
Ministry of Innovation, Science and Research of the German State of North
Rhine-Westphalia (MIWF NRW)**

→ Who can apply?

Research establishments, higher education institutions and clusters located in North Rhine-Westphalia may apply.

Grants for universities, research and scientific institutions and similar establishments will be calculated on the basis of the eligible project-related expenditure and up to 100% of eligible costs can be financed.

→ What types of funding are eligible for North Rhine-Westphalian researchers?

The level of funding for NRW researchers may be up to 50.000 Euro per year (i.e. a total of 100.000 Euro for the two years of funding). In the case that two or more partners in a project are located in North Rhine-Westphalia, they may together request the above-mentioned maximum sum.

1. Eligible direct costs

The State's "Budgetary Regulations" (*Landeshaushaltsordnung*) apply.

1.1 Research material and small-scale research equipment (no basics, e.g. computer hardware or software, office supplies, etc.): only on a limited scale and if the need is demonstrated. The maximum amount per item shall in any case not exceed 410, 00 Euro.

1.2 Personnel costs: Eligible are the costs which are necessary to implement the project work. Costs for a scientist up to E13/TVL and for administrative staff up to E9/TVL are eligible. An upper limit of 20% of the project budget per partner/year should not be exceeded.

1.3 Travel costs: As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for German project partners who travel to foreign countries will be covered (economy class flight / 2nd class train travel / 0,3 Euro per km if private cars are used). The rules of the State's "Travel Costs Regulations" (*Landesreisekostenrecht*) must be applied.

1.4 Subsistence (including accommodation costs): As a general rule, the daily subsistence allowance for exchanges between countries participating in the CONCERT-Japan Joint Call will be covered by the despatching country. For Japan, the daily subsistence allowance for German project partners is 107 Euro per day for up to 22 working days. For stays between 23 and 30 working days, a monthly sum of 2.392 Euro will be paid for each completed month. For individual days in a subsequent month a per diem of 80 Euro will be paid. The stay of young German scientists in Japan will be supported by a monthly sum of 2.392 Euro (for a max. of 3 months). For individual days in a subsequent month a per diem of 80 Euro will be paid. For all other countries participating in the Joint Call the daily subsistence allowance for German project partners is 94 Euro per day for up to 22 working days. For stays longer than 23 days a monthly sum of 1.840 Euro will be paid for each completed month. For individual days in a subsequent month a per diem of 61 Euro will be paid.

1.5 Expenses for the organisation of small scientific events in NRW (including participation of external experts): Grants can be provided for expenses such as room rent and catering, travel and accommodation of invited experts, transfer costs in Germany. The upper limit of 20% of the project budget per partner/year should not be exceeded.

1.6 Procurement: In the purchase of necessary equipment, other contributions in kind and services, the State's "Procurement Regulations" (*Vergaberecht des Landes NRW*) must be respected.

2. Eligible indirect costs

Indirect costs are not regarded as eligible.

→ Is an additional/parallel application to the funding organisation required?

NRW researchers who are partners in a project selected for funding will be required to submit an additional application to the MIWF. A separate request to do so will be sent to the NRW researchers after notification by the CONCERT-Japan Joint Call Secretariat. To ensure the timely start of funding in April 2013, NRW researchers should submit this application as soon as possible after receipt of notification.

Hungary - Hungarian Scientific Research Fund (OTKA)

→ Who can apply?

Scientists affiliated with a university or research institution in Hungary.

→ What types of funding are eligible for Hungarian researchers?

1. Eligible direct costs

General OTKA regulations apply: Hungarian proposals recommended for funding by the CONCERT-Japan scientific committee have to be uploaded in OTKA's online proposal review system as a proposal for international cooperation (type NN) in order to prepare the contracts.

1.1 Travel costs

Only the travel costs of the Hungarian participants are eligible.

1.2 Living expenses

Only the living expenses of the Hungarian participants are eligible.

1.3 Expenses for the organisation of small scientific events in Japan

Organisation costs of events (such as room rental, equipment, catering, etc.) in Hungary or elsewhere are not eligible.

1.4 Research material and small-scale research equipment

Eligible.

1.5 Personnel costs

Eligible.

2. Eligible indirect costs

Eligible.

→ Is additional/parallel application requested to the funding organisation?

Hungarian proposals recommended for funding by the CONCERT-Japan scientific committee have to be uploaded in OTKA's online proposal review system as a proposal for international cooperation (type NN) in order to prepare the contracts.

1. Subjective requirements for application (who can apply)

A project proposal can be presented only by the research organisations whose registered office or operating centre is located in the Province of Trento at the publication date of this call on the “Bollettino Ufficiale della Regione Trentino Alto Adige”.

The definition of “research organisation” is expressed according to the European legislation, you can find it on the following address (page 9 of the document):

<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:C:2006:323:0001:0026:EN:PDF>

Each project’s partner must be a research organisation as well, according to the above mentioned definition.

In case that one of the above subjective requirements is lacking, the project proposal will be excluded from the evaluation procedure and automatically rejected.

2. Acceptance of funding and starting of activities

The research activity must start within 30 (thirty) days from the funding decision.

The start of the activities must be communicated to the University and Scientific Research Department within 15 (fifteen) days, to the following address:

Servizio Università e ricerca scientifica
Provincia autonoma di Trento
via Zambra, 42
Torre B Sud Top Center
38121 Trento

This communication must be accompanied by a copy of the collaboration agreement for the implementation of the project between the scientist in charge and the partners; the duration of this agreement is the same of the project.

If the scientist in charge fails to send the acceptance in time or the research activity doesn’t start within 30 days counting from the date of receipt of the funding decision, the funding will be withdrawn.

3. Responsibility of the researcher and of the host organisation

The proposing researcher is in charge of the scientific and financial management of the project.

The host organisation must:

- provide the researcher, for the whole duration of the contract, with all the means necessary in order to realise the project, including the infrastructure, all equipments and products;
- pay the researcher and the all the staff mentioned in point A.1 of the Annex the salary and all other due benefits;
- send to the University and Scientific Research Department a copy of the contracts stipulated with the staff involved in the research project.

The proposing researcher and the host organisation must comply with the obligations described in article 6 (scientific and financial reports); in addition, on request of the University and Scientific Research Department, they must provide at any time all explanations, information and documentation considered necessary for the project management.

4. Types of expenditure admissible for funding

The types of expenditure admissible for funding are:

1 Personnel costs

- 1.1. costs for personnel, both permanent and temporary, directly involved in research activities connected with the project (researchers, technicians, research allowance beneficiaries or doctoral researchers not already funded by other public authorities or private companies), including all legal obligations which are in charge of the participants.
- 1.2. training expenses of the personnel assigned to the project.

2 Travel costs and living expenses

- 2.1. travel and subsistence expenses of the personnel assigned to the project, except travelling allowances;
- 2.2. only expenses incurred in the countries participating in the Joint Call and Brussels/Belgium are eligible. In case of additional partners (researchers who are from a European country other than those participating in the Joint Call and who secure their own funding), travels to this country are also eligible.

3 Research material and small-scale research equipment

- 3.1. costs for consumables;
- 3.2. costs for durable equipment and software, limited to the technical-financial depreciation charges.

4 Costs for the results' disseminations (publications, congress, meeting and workshop participation fees)

- 4.1. Room rent, printing of conference brochures, travel and accommodation of invited external experts (that do not form part of the project consortium but are considered necessary for the success of the event) are eligible.

All expenditures must be suitably described.

Only the costs that exceed the standard activities of the participants are admissible for funding. The costs must be strictly related to the implementation of the research project and must have incurred during its duration. In addition, they must fall into the typologies listed above and comply with the project financial plan and with the instructions of Annex A, which is an integral and substantive part of this call.

Both the scientist in charge and the other partners commit themselves to provide Trento Autonomous Province with all documents proving the expenditures, under penalty of a funding curtailment.

The funding of expenditures already covered or granted for whatever purpose by the Province or by any other body is not admissible.

5. Ownership of research results

Reserved the right of the researchers to be recognized as authors and it being understood what provided by the resolution of the provincial government n. 3107 of December 30, 2012, the project results, such as any patents and intellectual property rights achievable thank to the work directly ascribed to the researchers who worked in the part of the project directly financed by the Province of Trento and the consequent possibilities of financial exploitation, deriving from this researches shall belong to the Province of Trento.

Any works, papers or products relating to the project must mention Trento autonomous Province and make specific reference to this call for proposals.

6. Privacy

All the data provided will be handled according to Italian laws regarding the subject, i.e. the legislative decree of 30 June 2003, n. 196 (Data protection code - see the English version at the following address:

<http://www.garanteprivacy.it/garante/document?ID=1219452>).

Further regulations, and other details for Trentino can be found on the corresponding website: www.uniricerca.provincia.tn.it

Who can apply?

In Regione Lombardia research organisations (as defined in *Regulation EC N. 800/2008, published in GUCE L9 of 14 January 2010*) are eligible.

→ What types of funding are eligible for researchers from Lombardia Region

The level of the funding of Lombardia researchers may be up to 50.000 Euro per year (i.e. overall 100.000 Euro for the two years of funding) In case two or more partners of a project are located in Lombardia, they may together request the aforementioned maximum sum.

1. Eligible direct costs

Personnel cost:

- a) Temporary staff (to be recruited): up to 40% of the total project costs
- b) Permanent staff: represents the in kind own contribution, up to 50% of temporary staff costs

Travel costs:

Travel costs for Lombardia project partners who travel to partner countries (economy class flight / train ride 2nd class) and living expenses will be reimbursed.

Research Materials:

Consumables if its need is demonstrated

Expenses for the organisation of small scientific events in Lombardia

Expenses related to room renting and catering, accommodation and transfer costs of external experts will be reimbursed.

Eligible indirect costs

A 20% flat rate applies on the total cost of personnels a) and b)

→ Is an additional/parallel application requested to the funding organisation?

After the electronic submission of the project, researchers from Regione Lombardia are asked to notify their participation to Regione Lombardia by sending a fax to the number +39 02.3936139 with the following information:

- 1. self-declaration of participation (personal details + title of project + project partners)
- 2. copy of the identity document, addressed to:

Regione Lombardia

Presidenza

DC PI – Struttura Università e Ricerca.

Norway - Research Council of Norway (RCN)

→ Who can apply?

In Norway, Universities, research institutes and industrial companies are eligible. For universities and research institutes, up to 100% of eligible cost can be funded. Industry companies can be funded up to 50% (60% for SMEs).

→ What types of funding are eligible for Hungarian researchers?

The level of funding of Norwegian researchers for each project may be up to 100.000 euro per year (i.e. overall 200.000 euro for the two years of funding). In case more than one Norwegian partner participates in a project, the upper limit is totally 100.000 Euro per year for all Norwegian participants in the project.

1. Eligible direct costs

The national rules will be applied.

1.1 Travel costs

Travel cost for Norwegian participants in the project will be covered based on economy class flight tickets or similar.

1.2 Living expenses

Living expenses including accommodation costs will be covered according to the Norwegian official rates for each country. For longer stays, the general rates for stipends abroad will be used.

1.3 Expenses for the organisation of small scientific events in Japan

A limited sum can be used for expenses like room rent, catering etc.

1.4 Research material and small-scale research equipment

Project participants must themselves cover the cost of all equipment, including laboratory and office equipment. Operational costs for use of the equipment may be included in the project proposal.

1.5 Personnel costs

Personnel costs will be covered according to the general rules for hourly prices for the research institutions towards the Research Council. Personnel cost should be limited to less than 50% of the total cost for each partner, giving room for networking activities and mobility.

2. Eligible indirect costs

There are no eligible indirect costs

→ Is additional/parallel application requested to the funding organisation?

There is no need for an additional/parallel application to the Research Council. A copy of the application sent to the call secretary should be sent to the Research Council. The proposals that are selected for funding will have to fill out the national application form of RCN.

For rates and more detailed national rules and regulations, see:

http://www.forskningsradet.no/en/Application_information/1138882212933

And in particular the paragraphs: "General application requirements" and "Payroll expenses and rates".

**Romania -
Ministry of Education, Research, Youth and Sports – National Authority for
Scientific Research**

→ Who can apply?

Romanian public and private research organisations (R&D institutes, centers, etc.), and higher-education establishments (universities, colleges, etc.), hereinafter referred to research entities, as well as SMEs, are eligible for funding. The financing of these participants shall comply with the state aid scheme (Government Decision no 117/2006): grants for universities, research and science institutions and similar research establishments will be calculated on the basis of the total eligible project-related expenditure up to 100%. As for the private sector, the total eligible costs of an enterprise are covered as follows:

Activity	Large companies	Medium Enterprises	Small Enterprises
Fundamental research	100%	100%	100%
Industrial research	65%	75%	80%
Experimental development	40%	50%	60%
Technical of feasibility studies (industrial research)	65%	75%	75%
Technical of feasibility studies (experimental development)	40%	50%	50%
Protecting of industrial property rights (fundamental research)	-	100%	100%
Protecting of industrial property rights (industrial research)	-	60%	70%
Protecting of industrial property rights (experimental development)	-	35%	45%

- The Romanian leader must hold a PhD degree. This condition does not apply if the Romanian leader belongs to an enterprise in the sense of the state aid legislation.
- The Romanian leader must be employed full time in its host institution, with permanent position, or with fixed term contract covering at least the duration of the project;
- It is not allowed to submit a proposal which seeks to fund R&D activities that had already obtained funding from the other public sources;
- The research entity/ enterprise does not have a seizure on its accounts; it has not made false declarations concerning the required information; it has not breach the terms of a previously different contract;
- The research entity/ enterprise agrees to ensure the necessary administrative support, provide access to all necessary infrastructures, support the implementation of the project in good conditions and employ the members of the Romanian team, in respect of all legal provisions in force, if the project is selected for funding.

→ What types of funding are eligible for Romanian researchers?

The level of the funding for the Romanian researchers may be up to 50.000 Euro per year (i.e. overall 100.000 Euro for the two years of funding). In case of two or more partners in a project are located in Romania, they may together request the aforementioned maximum sum.

1. Eligible direct costs

The national rules will be applied.

The following activities can be financed from the public budget, according to the national legislation and regulations:

- fundamental research (but no more than 10% of the funding from the public budget);
- industrial research;
- experimental development;
- technical feasibility studies;
- activities for obtaining and protecting intellectual property rights for SMEs.

1.1 Personnel costs for researchers, technicians and support staff are subject to the national legislation in force (HG 475/2007) and include all corresponding state and social contributions.

1.2 Travel costs: As a general rule, travel costs (from the point of departure to the location of the project partner) will be paid by the sending country. Travel costs for Romanian project partners who travel to foreign countries will be covered (economy class flight / train ride 2nd class). Visa fees and medical assurance for Japan and non-EU countries will also be covered.

1.3 Living expenses (including accommodation costs): As a general rule, the daily subsistence allowance for exchanges between countries participating in the CONCERT-Japan Joint Call will be covered by the sending country. The exact rate of the daily subsistence allowance per country as stated in the national legislation is presented in the table below.

The following rates (currency, daily allowance and accommodation) are indicative at this point and may be subject to any changes.

Country	Currency	Daily allowance	Accommodation
Germany	€	32.6	150
Italy	€	32.6	150
Japan	USD	49.0	250
Norway	€	32.6	150
Serbia	€	29.8	100
Slovakia	€	32.6	150
Switzerland	CHF	35.4	150
Turkey	USD	35.4	140
Hungary	€	32.6	150
Belgium	€	32.6	150
Spain	€	32.6	150

1.4 Expenses for the organisation of scientific events in Romania (including the invitation of external experts): Grants can be provided towards expenses such as room rent and catering, travel and accommodation (without daily allowance) of invited external experts to the project, transfer costs in Romania only for external experts.

1.5 Research material, supplies (tools) and small-scale research equipment are eligible for funding. Moreover, funding for small-scale research equipment will be granted only in duly justified cases. For enterprises, if the tools and equipment have a greater lifespan than the duration of the research project, only depreciation expenses during the project are eligible, calculated on the basis of the accounting practices in force.

1.6 Cost of services performed by third parties (subcontractors) is allowed, but subcontracted parts should not be core/substantial parts of the project work; it can't exceed 25% of the total budget allocated by Romania.

2. Eligible indirect costs

Indirect costs will not exceed 20% of total eligible direct costs (personnel costs, travel costs, living expenses, logistics costs without capital costs, etc.) excluding the subcontracting costs.

During the project lifetime, funds can be reallocated between the following budget categories: personnel expenses, and travel expenses, up to 15% of the project budget, respecting the provisions of the contract with the Contracting Authority and with its approval.

→ Is any additional application requested by the funding organisation?

No additional submission or evaluation is required at national level.

→ Who can apply?

Only research Institutes of Slovak Academy of Sciences are eligible organisations for funding (up to 100%). Applicants from other Slovak RD centers have to cover the project costs from their own sources (Letter of Commitment).

→ What types of funding are eligible for Slovak researchers?

1. Eligible direct costs

1.1 Personal costs

- must accurately reflect the work on the project
- may be used only to cover the costs (including health and social insurance) related to work agreements performed outside of employment
- maximum of 15 % of all direct costs

1.2 Material costs and expenditures

- a. *Consumables*: minor equipment and instruments, small-scale office and laboratory material (no basic equipment of the workplace; essential computer equipment is exception)
- b. *costs and expenditures for services directly related to the project*: contracts, consultations, publication of project results, conference fees
- c. *travel costs and living expenses*: limits for travel costs and daily subsistence allowance vary depending on destination country (pursuant to Slovak Act. 283/2002 Col. Of Laws on travel reimbursement)
- d. expenses for the organisation of small scientific events in Slovakia

2. Indirect Costs

- administration, energy and infrastructure
- maximum of 20% of all direct costs

Eligible costs as defined in the CONCERT- Japan call text can be applied unless they are in conflict with the SAS Financial Rules for awarding grants for research projects. Priority is given to the SAS Financial Rules.

→ Is an additional/parallel application requested to the funding organisation?

Slovak researchers that are partners in a project selected for funding will be requested to submit an additional application to the SAS (Formular MVTs). A separate request to do so will be sent to the Slovak researchers after the notification of the CONCERT-Japan Joint Call Secretariat. To ensure the timely start of funding in April 2013, Slovak researchers should submit this application as soon as possible after having received the notification.

→ **Who can apply?**

The entities eligible for MINECO's funding are universities and other public research institutions, *centros tecnológicos* and private non-profit research institutions.

Private companies that carry out R&D activities in Spain are welcome to join the consortia to valorize the research results, always **under their own funding**, together with at least one Spanish public research or private non-profit institution in the same consortium.

→ **What types of funding are eligible for Spanish researchers?**

The following categories of expenses can be financed within this programme:

1. Direct costs

1.1 Research material and small-scale research equipment: Consumables, small equipment, use of research infrastructures or services.

1.2 Travel costs

1.3 Living expenses

1.4 Expenses for the organisation of consortium meetings in Spain

2. Personnel costs

Personnel costs for temporary contracts can be funded. Fellowships are **not eligible**.

3. Indirect costs/ Overheads

Overheads are **not eligible**.

For universities and public research institutions, *centros tecnológicos*, as well as private non-profit institutions, up to 100% of the marginal costs of the project can be financed. Although they are welcome to participate, no funding will be provided from MINECO for private industrial partners.

Travel and living expenses will be according to the national rules applying to personnel working for the Spanish General Administration.

It is recommended **not to exceed 50.000 € per partner**. However, when several Spanish partners take part in one project, it is recommended that the total costs of the Spanish part do not exceed 75.000 €.

Considering that the priorities of this call are to create or strengthen transnational consortiums and to promote exchanges with Japanese groups, funding under this call will not be used to fund exclusively national research. Consequently, the current costs of research (research material, small-scale research equipment and personnel) under this call will constitute a maximum of the 50% of the budget, being the planning of exchanges and travels, as well as the organization of joint scientific events (workshops, seminars, etc.) a priority for the funding. Nevertheless, MINECO will avoid double funding and will not finance projects or parts of projects funded through other calls.

MINECO will be responsible for making the final decision regarding the awarding of funds to the Spanish partners, taking fully into account the transnational evaluation of the cooperative project, the potential funds received for other related projects and the financial resources available.

→ **Is additional/parallel application requested to the funding organisation?**

The funding granted to the Spanish partners involved in the projects selected by the CONCERT-JAPAN Governing Board will be managed by the ***Dirección General de Investigación Científica y Técnica*** of MINECO.

Applicants from Spanish public institutions will be requested to apply to a national call for internationalization of the *Plan Nacional de Investigación, Desarrollo e Innovación*, or any other instrument which could be implemented in the future for this purpose.

The Spanish partners funded in this call are obliged to submit an annual interim report and a final report in accordance with the regulations established in the corresponding national call.

→ Who can apply?

In Switzerland, Swiss public research institutes and higher education institutions including universities and universities of applied sciences are eligible. Proposals may be submitted by natural persons engaged in scientific research in Switzerland for non-commercial purposes. Applicants must be employed by an above mentioned institution, or if self-employed domiciled in Switzerland.

No funding can be given to private companies and industry. They may participate in consortia on their own expenses.

Grants will be calculated on the basis of the eligible project-related expenditure. Up to 100% of which can be covered in individual cases, if the budget lies within the funding available for the respective call.

→ What types of funding are eligible for Swiss researchers?

The level of the funding for Swiss researchers may be up to 50.000 Euro per year (i.e. overall 100.000 Euro for the two years of funding). In case two or more partners of a project are located in Switzerland, they may together request the aforementioned maximum sum.

1. Eligible direct costs

The national rules will apply.

The following costs may be claimed for research projects:

- a. The salaries of scientific and technical project personnel;
- b. Material costs that are directly related to the realization of the project, namely material of enduring value, expendable items, field expenses, travel costs or third-party charges;
- c. Costs for the project-related use of infrastructures at institutions or laboratories that are expressly provided for under the terms of the call
- d. Further costs provided for by the regulations and the terms of the call such as costs for the organisation of meetings and small conferences.

2. Eligible indirect costs

There are no eligible indirect costs.

→ Is an additional/parallel application requested to the funding organisation?

NO.

Turkey - The Scientific and Technological Research Council of Turkey (TUBITAK)

In the frame of this call, the applicants from Turkey can apply to one of the following support programmes of TÜBİTAK:

- ARDEB 1001 Research Projects Programme (The Support Programme for Scientific and Technological Research Projects), **or**
- TEYDEB 1509 International Industrial R&D Funding Programme

Proposals that are more academic research oriented are advised to apply for the ARDEB 1001 Research Projects Programme.

Proposals that are more commercial research oriented are advised to apply for the TEYDEB 1509 International Industrial R&D Funding Programme.

In addition to the national funding regulations provided herewith, all Turkish applicants are strongly advised to check the web pages of these programmes for the conditions of funding.

→ Who can apply?

Eligible applicants for the ARDEB 1001 Research Projects Programme:

Applicants may be from universities (public and private), R&D institutes, public and private corporations.

Project personnel requirements:

Principal Investigator, Researchers and Advisers:

- University personnel should have a PhD degree.
- Those working in a public institution or a private corporation should have an undergraduate diploma.
- The Principal Investigator should be the permanent staff of the organisation making the project proposal.
- Except advisers, the principal investigator (PI) and researchers (Co - PI's) should reside and work in Turkey. (Foreign nationals can be PI/researcher in the projects if they are working in an organisation in Turkey.)
- A researcher should have a contribution of at least 10% of the project workload.
- An adviser is allowed if the project requires special expertise on a specific subject. The number of advisers in a project is limited to the number of specific subjects in the project. The role of adviser in the project should be explained in detail in the project proposal.

Who Cannot Be A Principal Investigator?

University presidents and vice presidents, deans, academy and institute principles, surgeons general, general secretaries, general managers or state department heads, and members of the executive committee and advisory board of TÜBİTAK groups cannot be the principal investigator if they are working in those positions as of the application date. However, they can be researchers in at most two projects.

Eligible applicants for the TEYDEB 1509 International Industrial R&D Funding Programme:

Only capital firms from the private sector can apply. For large firms 60% of the project expenditures, for SMEs 75% of project expenditures that is deemed eligible in the monitoring phase are granted to the beneficiary. Under the programme a certain fraction of eligible expenditures deemed appropriate by TUBITAK, is paid to the beneficiary firm, after these

expenditures for project activities are made and paid for by the beneficiary firm. Beneficiary firm may demand a certain amount of pre-payment to cover the expenditures to be made.

→ What types of funding are eligible for Turkish researchers?

Eligible direct costs

Eligible direct costs for ARDEB 1001 Research Projects Programme

The maximum funding per project is maximum 100.000 Euros (total for two years) to be shared between participating Turkish partners. The annual budget limit for research projects is 120,000 TL (approx. 50.000 Euros). This includes the scholarship payments; however it excludes payments to the PI, Co-PI's and overhead costs. The total funding will not exceed 100 % of the eligible costs.

Eligible types of funding under this programme are limited to personnel costs (scholarships), travel & subsistence, equipment and materials. Please see below for a detailed description of each item. Projects that involve building infrastructure are not funded.

Research material and small-scale research equipment

Proposals for machine/equipment purchases should be balanced with the total budget. Total budget proposed for the machine/equipment can exceed 100.000 TL, but the budget proposed for each machine/equipment should be less than 100.000 TL (including VAT). Purchase of consumables should also constitute a reasonable amount balanced with the total budget.

Personnel costs

Personnel costs include only the scholarships. The scholarships for master/PhD students and post-doc researchers are as follows:

If the scholar is not working for a certain fee:

Graduate Student: 1500 TL/month

PhD Student: 1800 TL/month

Post-doc Researcher: 2.250 TL/month

If the scholar is working:

Graduate Student: 400 TL/month

PhD: 500 TL/month

Total monthly budget for scholarships (personnel costs) should not exceed 4,800 TL.

Travel costs

Travels in business class are not accepted.

Living expenses

For the travel of Turkish researchers, the amount of the allowances varies according to the destination country and the conditions of the personnel, which is described in the Travel Expense Law No.6245. Please check TUBITAK website for the daily allowances for the Turkish researchers:

<http://www.tubitak.gov.tr/home.do?ot=1&sid=1085&pid=1081>

Expenses for the organisation of small scientific events

Organisation of small scientific events is not an eligible cost.

Eligible direct costs for TEYDEB 1509 International Industrial R&D Funding Programme

For this call, the maximum funding per project that will be funded by the TEYDEB 1509 Programme is expected to be between 100.000 – 150.000 Euros (total for two years) to be shared between participating Turkish partners. For the below described eligible direct cost items, there is no upper limit or percentage share of the total budget.

Research material and small-scale research equipment

Supplies and materials are eligible direct costs. Tools, equipment, software and technical documents are also eligible direct costs.

Personnel Costs

Personnel expenses are eligible direct costs. The details can be seen in the implementation principles belonging to programme.

Travel Costs

Travel expenses are eligible direct costs. Eligible travel costs incurred in the frame of the projects funded in this programme can be international or intercity travels by plane, train, bus and ship in economy class.

Living Expenses

Living expenses (subsistence, allowance etc.) are not eligible.

Expenses for the organisation of small scientific events

Organisation of small scientific events is not an eligible cost.

Other eligible direct costs

Other eligible direct costs include the following:

- Acquisition of consultancy or other services related to the project from domestic institutions or from abroad.
- Costs of R&D services contracted to universities in Turkey, R&D units of TÜBİTAK, and private R&D institutions.

Eligible indirect costs

For TEYDEB 1509 Programme, only eligible indirect costs are the costs pertaining to the checking and validation of periodic expenses of funded projects by a chartered accountant.

For ARDEB 1001 Programme, eligibility of indirect costs should be checked from the programme web page <http://www.tubitak.gov.tr/home.do?ot=1&sid=367&pid=364>.

→ **Is additional/parallel application requested to the funding organisation?**

The Turkish applicants are requested to apply to TUBITAK ARDEB 1001 or TEYDEB 1509 programmes simultaneously with the application that is being made to the CONCERT-Japan Joint Call Secretariat.

The applications made simultaneously to the TÜBİTAK ARDEB 1001 Research Projects Programme will be checked only in terms of eligibility and budgetary regulations. The scientific merit of the projects will only be evaluated by the independent peer-reviewers in the frame of the CONCERT-Japan project.

The applications made simultaneously to the TÜBİTAK TEYDEB1509 International Industrial R&D Funding Programme will be subject to TUBİTAK review in addition to the evaluation made by independent peer-reviewers in the frame of the CONCERT-Japan project.

The deadline for applying to the TÜBİTAK programmes is same with the deadline of submission of joint proposals to the CONCERT-Japan Central Call Secretariat, 15 November 2012.

PLEASE CHECK TUBITAK WEBSITE FOR THE PROGRAMME CONDITIONS:

For ARDEB 1001 Research Projects Programme:

<http://www.tubitak.gov.tr/home.do?ot=1&sid=367&pid=364>

For TEYDEB 1509 International Industrial R&D Funding Programme:

<http://www.tubitak.gov.tr/sid/698/pid/478/cid/10573/index.htm>

http://www.tubitak.gov.tr/tubitak_content_files//TEYDEB/1509/basvuru/1509_Uygulama_Esasleri.pdf

PART 3: Contact information of the CONCERT-Japan Joint Call Secretariat and the National and Regional Contact Persons

The **CONCERT-Japan Joint Call Secretariat (JCS)** is entrusted with the overall operational management of the CONCERT-Japan Joint Call. It is the general contact point for first questions related to the Joint Call, the application process and the use of the PT-Outline webtools.

The **National and Regional Contact Persons (NRCPs)** are located in each country which participates in the CONCERT-Japan Joint Call. One of their main tasks is to advise the potential applicants from their countries/regions on the applicable national/regional regulations during the proposal submission process.

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